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GN5-1 Workpackage Leader Trust & Identity

Atlanta, Georgia, USA 10th May 2023

Federated Identity Management



Federated identity management (FIM) is the set of policies and technologies that enables one party to rely on the authentication performed by another trusted party, and the secure transfer of identity information for authorization purposes.





Authentication (AuthN)

- Authentication is the act of confirming the truth of an attribute of a single piece of data or entity (the user of an application, for instance).
- Example (in the real world): authenticating the Mona Lisa.





- In the digital world we tend to simplify the confirmation by means of a login
 - Username: Identification
 - Password : Authentication





Authorization (AuthZ)

 Authorization is the function of specifying access rights to resources related to information security and computer security in general and to access control in particular.

Example: going to a concert.



AARC Training material



Managing AuthN and AuthZ

As we have seen, an application to deal with authentication and authorization has to manage the following information:

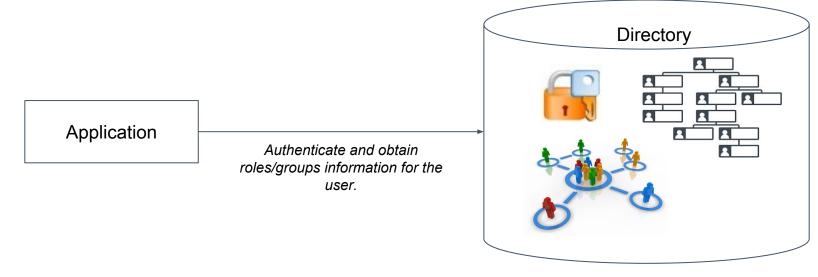
- 1. **usernames** and associated **passwords**, to identify users and verify (authenticate) they are who they pretend to be;
- 2. institutional **roles**, to describe the roles within the group or organization (used for Role Based Access Control);
- 3. user **groups**, to pool together users that have the same role in the organization (groups are associated to roles);
- **4. access policies**, rules in the form of (role name -> access right) to describe which operation each role is entitled to perform and which not inside the application.



Externalizing authentication

For simplicity, and not to duplicate information, usually a **Directory** is used to collect username, password, roles and groups for the whole organization.

Directory services play an important role by allowing the sharing of information about users, systems, networks, services, and applications throughout the network.





Federated authentication

A directory is widespread and quite always used to maintain authentication information of an organization. Often to facilitate collaboration is useful to enable access to service to **users that belong to different organisations** compared to the one that operate the service. This can be possible by either asking people to create an account with that services or by enabling federated access.

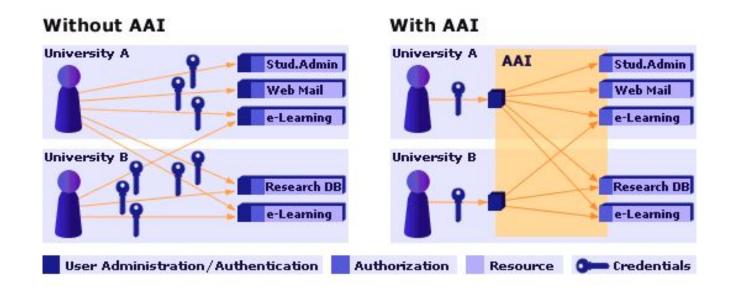
To permit these users to access the application, we use a **federated identity**:

- users can authenticate on different identity provider (IdP) services on the network;
- the different IdPs use similar protocols and user definitions so that applications can deal with users belonging to different organization in a similar manner.



Federated authentication

The objective of the AAI is, in a nutshell, to **simplify inter-organizational access** to resources. With a single login, for instance, a researcher can access applications at multiple organizations (universities or research institutions).





Benefits of federated authentication

- A user registers only once namely with the home organization to which the
 user is affiliated. This Home Organization is responsible for maintaining the
 user related information and provides the user with the credentials. Home
 Organizations can be institutions like universities, libraries, university hospitals
 etc.
- Authentication is always carried out by the user's Home Organization, which can also provide additional information about the user to the Resource upon Resource's request and user's consent.
- All AAI-enabled Resources are available to a user with a single set of credentials.
- Security no need for Resource Providers to maintain there own set of credentials as authentication outsourced (thus preventing password leakage)
- An access control decision (authorization) is made by the Resource based on the retrieved information about the user.
- Privacy Preserving only the attributes required by a Resource are sent.



Attributes

Authentication

Authorization

The *R&S attribute bundle* consists (abstractly) of the following required data elements:

- shared user identifier
- person name
- email address

and one optional data element:

affiliation

Example of (abstract) bundle of attributes – the R&S attribute bundle

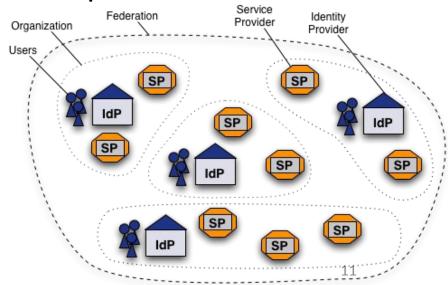


What is a Federation

A federation is a **collection of organizations** that agree to interoperate under a certain rule set. Federations will usually define trusted roots, authorities and attributes, along with distribution of metadata representing this information.

In general each organization participating in a federation operates:

- one Identity Provider (IdP) for their users, and
- any number of Service Providers (SP) or applications.





Federations in the R&E world

A **federation operator** is an organisation that some some identity federation.

Operation typically includes at minimum:

- Collecting, processing and republic to create a trust between Idea
- Common policies and to
- Guidelines and
- Helpdesk to as.

securely)

ration participants adhere

perate services in the federation

ces and debugging issues

Most academic federa coperated by the **national research and education network** (NREN). These connecting the universities and research organisations within a country.



13

The interfederation

NRENs usually operates federation within a country. To scale to a global level, R&E introduced the concept of **interfederation**.



Interfederation takes place if a user from one federation accesses a service which is registered in another federation.

eduGAIN is the most known and largest academic Interfederation service to exchange trusted identity information across boundaries of (national) identity federations.

AARC Training material



eduGAIN





Trustmarks

To ensure interoperability and to signal policies, optional trustmarks are in place

- Code of Conduct (CoCo)
 - Signals compliance of an SP with the GEANT Code of Conduct
- Research & Scholarship (R&S)
 - SP requests to get a defined set of information (id, name, mail, affiliation)
- REFEDS Assurance Framework (RAF)
 - Signals 4 components on the identity of user
- Single factor AuthN (SFA) and Multi factor Auth (MFA)



GN5-1 T&I Team and Key Collaborations



T7



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WP 5

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XeduGAIN

Davide Vaghetti GARR



Christos Kanellopoulos GÉANT



4cademia

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TRUST & IDENTITY

Niels van Dijk SURF **Michael Schmidt LRZ**



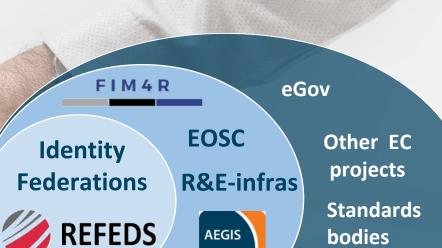
Enabling Communities

Maarten Kremers SURF



Distributed Identities Christoph Graph, SWITCH





AEGIS



Erasmus

bodies



T&I eScience Global Engagement



The 'eScience Global Engagement' of EnCo in the GEANT project is there to support those developments in the policy and best practice areas that would benefit the community at large, and do that by means of supporting the work in the existing forums such as WISE, FIM4R, IGTF, REFEDS, AARC-community, and the research and e-Infra communities directly

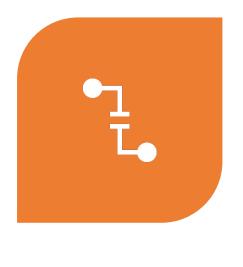
















TRUST



SECURITY

REFEDS

EnCo





IGTF

FIM4R

AARC

WISE



FIM4R

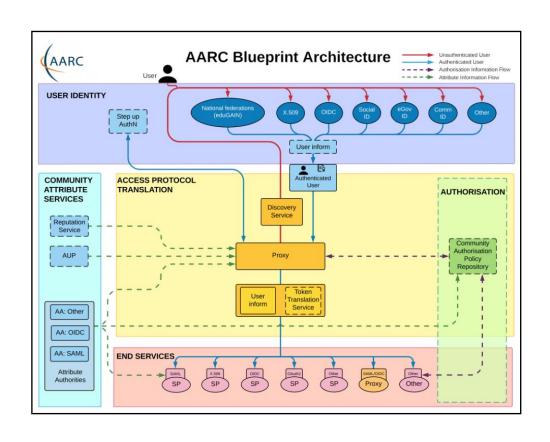






Interoperability, sustainability, integration and compatibility: Authentication and Authorisation for Research and Collaboration (AARC) — a set of turn-key AAI solutions bringing research collaborations closer together.





The AARC Blueprint Architecture (BPA)

is a set of software building blocks that can be used to implement federated access management solutions for international research collaborations.



Welcome to the

AARC
Policy Development Kit
(PDK)

Harmonising rules for a common infrastructure: The Policy

Development Kit (PDK)

Harmonising the rules that organisations apply to identity management is essential for achieving an integrated AAI framework.



Not sure how to begin with the AARC Blueprint Architecture? There are plenty of <u>guidelines</u> available but it can be a minefield at first. Here you can find common questions matched to the relevant Blueprint Architecture component, along with links to guidelines that can help.

Getting Started:

- How should I design my infrastructure? What is the AARC Blueprint Architecture? <u>AARC-G045</u>
- How should I approach performing a Data Protection Impact Assessment? <u>AARC-G042</u>
- How should my infrastructure support Federated Security Incident Response? AARC-1051

Access Protocol Translation:

- Which best practices should I follow for my Token Translation Services? AARC-G004
- How should I translate from Identity Federation information to X.509 certificates? <u>AARC-G010</u>

Proxie

- How can I ensure that my proxy is able to accurately claim that it supports best practices in Identity Federation? AARC-G015
- How should I express assurance information for users when interacting with another proxy? AARC-G021

Community Attribute Services:

- How should attributes from multiple sources be aggregated? AARC-G003
- How should I express the home institute of a user?

 AARC-G025
- What are the best practices for running my Attribute Authorities securely? AARC-G048
- Which Acceptable Use Policy should I use to facilitate interoperability? AARC-1044

AARC Blueprint Architecture AARC Blueprint A

End Services:

- My service needs to act on behalf of the user how should I handle credential delegation and impersonation? <u>AARC-G005</u>
- My services are not web based, how can I use identities from the proxy? AARC-G007
- How should Services hint which IdP they would like users to use? <u>AARC-G049</u>
- Which Security practices should I follow? AARC-G014

User Identity:

- How should I integrate Social Media Identity
 Providers? <u>AARC-G008</u>
- How should users link accounts, and how does that affect Assurance? AARC-G009
- How should services indicate that they would like users to authenticate with multifactor authentication, and how should my proxy forward that information? <u>AARC-6029</u>

Assurance:

- How should assurance information of external identities be calculated? AARC-G031
- What can I say about assurance of identities from social media accounts? AARC-G041
- How is assurance impacted by account linking?

 AARC-G009
- How should assurance information be shared with other infrastructures? AARC-G021
- Which Assurance Profiles should I use, there are so many! <u>AARC-I050</u>

uthorisation

- How should I manage authorisation information from multiple sources? AARC-G006
- How should group and role information be expressed to facilitate interoperability?

 AARC-G002
- How should resource capabilities be expressed?

 AARC-G027

What next? Are you looking for a kick start with your policies? Take a look at the Policy Development Toolkit which provides a set of templates.

Certain guidelines are being adopted by the AEGIS community to support interoperability between infrastructures - consider prioritising these best practices.



https://aarc-pr oject.eu/archit ecture/

https://edu.nl/









FIM4R

AEGIS



The AARC Engagement Group for Infrastructures

(AEGIS) brings together global representatives from AAI operators in research infrastructures and e-infrastructures, which are implementing authentication and authorisation services that support federated access, to discuss adoption of policy and technical best practices that facilitate interoperability across e-infrastructures ands research infrastructures.



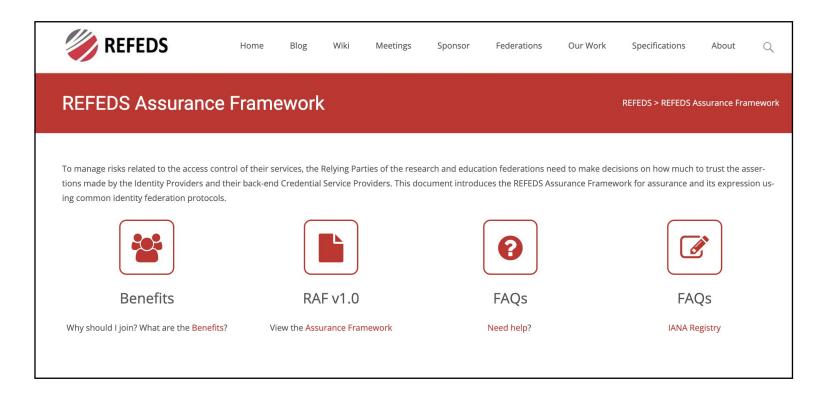






REFEDS (the Research and Education FEDerations group) is to be the voice that articulates the mutual needs of research and education identity federations worldwide.











REFEDS Assurance Profile (v1.0)

- Consisting of three individual specifications:
 - REFEDS Assurance Framework (RAF), ver 1.0, published 2018
 - REFEDS Single Factor Authentication Profile (SFA), ver 1.0, 2018
 - REFEDS Multi Factor Authentication Profile (MFA), ver 1.0, 2017
- Component-based approach
- Two identity assurance profiles: Espresso (high assurance) and Cappuccino (moderate assurance)











Making Identity Assurance and Authentication Strength Work for Federated Infrastructures

Jule Anna Ziegler, a,* Uros Stevanovic, David Groep, lan Neilson, David P. Kelsey and Maarten Kremerse





^aLeibniz Supercomputing Centre, Garching near Munich, Germany

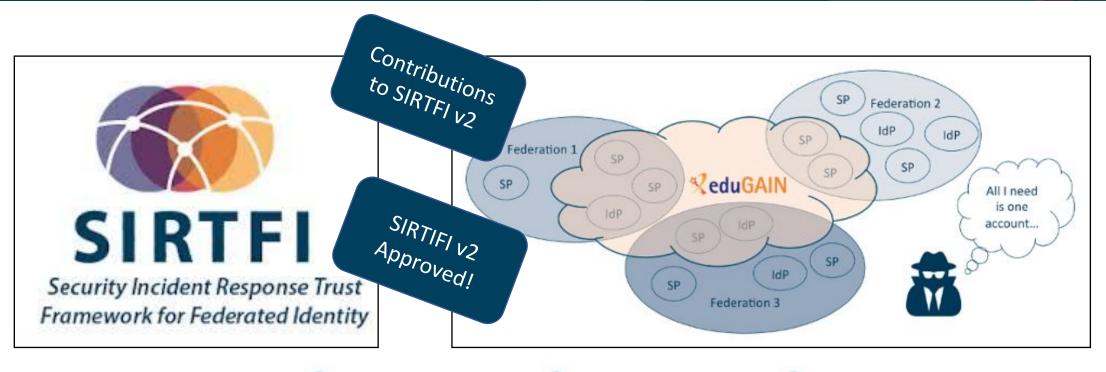
^bKarlsruhe Institute of Technology (KIT), Karlsruhe, Germany

^cNikhef, Amsterdam, the Netherlands

^dUKRI STFC Rutherford Appleton Laboratory, Didcot, United Kingdom

e SURF, Utrecht, the Netherlands













eduGAIN Security Incident Response Handbook

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Preface

As with products of any REFEDS Working Group, in this instance the SIRTFI Working Group, this document is a community-developed Best Practice Recommendation. However, as with the SIRTFI Trust Framework itself, these Best Practice Recommendations are most effective when all parties it addresses agree to follow it. Organisations such as Federation Operators or eduGAIN may decide to incorporate adoption of these Best Practice Recommendations into their own policies, as many have done with the SIRTFI Trust Framework.

This document is based on previous work conducted in the AARC2 project1.









The Interoperable Global Trust Federation (IGTF) is a body to establish common policies and guidelines that help establish interoperable, global trust relations between providers of e-Infrastructures and e-Research, identity providers, and other qualified relying parties.







Guidelines for Secure Operation of Attribute Authorities and issuers of statements for entities

Publication Date 2022-02-24

Authors: Members of the IGTF and the AARC Community; David Groep; Ian Collier, Tom Dack;

Jens Jensen; David Kelsey; Maarten Kremers; Ian Neilson; Stefan Paetow; Hannah

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With feedback from Marina Adomeit; Sander Apweiler; Jim Basney; Christos Kanellopoulos; Johannes

Reet

AARC Document Code: AARC-G071







The Wise Information Security for Collaborating e-Infrastructures (WISE) community enhances best practice in information security for IT infrastructures for research.

SCI (Security for Collaboration among Infrastructures) Workgroup focusses on best practices, trust and policy standards for collaboration with the aim of managing cross-infrastructure security risks



SCI Trust Framework

- Enable interoperation of collaborating Infrastructures in managing cross-infrastructure operational security risks.
- Builds trust between Infrastructures by adopting policy standards for collaboration especially in cases where identical security policy documents cannot be shared.











SCI

Security for Collaborating Infrastructures Trust Framework

Introduction

Research and e-Infrastructures recognise that controlling information security is crucial for providing continuous and trustworthy services for the communities. The Security for Collaborating Infrastructures (SCI) working group is a collaborative activity within the Wise Information Security for e-Infrastructures (WISE) trust community. The aim of the SCI trust framework is to enable interoperation of collaborating Infrastructures in managing cross-infrastructure operational security risks. It also builds trust between Infrastructures by adopting policy standards for collaboration especially in cases where identical security policy documents cannot be shared. Governing principles of the SCI framework are incident containment, ascertaining the causes of incidents, identifying affected parties, addressing data protection and risk management and understanding measures required to prevent an incident from reoccurring. The original SCI version 1 Framework was produced in 2013.

The SCI Working Group has produced a second version of the framework, to reflect changes in technology, culture and to improve its relevance to a broad range of infrastructures.

Access the SCI version 2 Framework here



A	В	С	D		E F	G	Assessment Tool
1 Infrastructure Name:		<insert name=""></insert>					1 3e/f
2 Prepared By:		<insert< td=""><td>name></td><td></td><td></td><td>7550-</td></insert<>	name>			7550-	
3 Reviewed By:		<insert name=""></insert>				Sessm	
4							~ "'/en
5 Operational Security [OS]		Maturity			Evidence	1001 "10	
6		Value		Σ		(Document Name and/or URL)	-0/
7							
8 OS1 - Security Person/Team							
9 OS2 - Risk Management Process							
10 OS3 - Security Plan (architecture, policies, controls)			2.0				
11 OS3.1 - Authentication		3					
12 OS3.2 - Dynamic Response		1					
13 OS3.3 - Access Control							
14 OS3.4 - Physical and Network Security				_			
15 OS3.5 - Risk Mitigation				_			
16 OS3.6 - Confidentiality							G
17 OS3.7 - Integrity and Availability	Q	1	1.0	(Ulid-
18 OS3.8 - Disaster Recovery							'Ydnca
19 OS3.9 - Compliance Mechanisms							16 D
20 OS4 - Security Patching		1	1.0				Guidance Do
21 OS4.1 - Patching Process							
22 OS4.2 - Patching Records and Communication							
23 OS5 - Vulnerability Mgmt		1	0.7	-			
24 OS5.1 - Vulnerability Process							







Top Level Infrastructure Policy Template

Questions to ask yourself when defining the policy:

- Who are the actors in your Infrastructure environment?
- How will you tie additional policies together for the infrastructure?
- · Which bodies should approve policy wording?

This policy is effective from <insert date>.

INTRODUCTION AND DEFINITIONS

To fulfil its mission, it is necessary for the Infrastructure to protect its assets. This document presents the *policy* regulating those activities of *participants* related to the security of the Infrastructure.

Definitions

Infrastructure All of the IT hardware, software, networks, data, facilities, processes and any other elements that together are required to develop, test, deliver, monitor, control or support services.

Service An *infrastructure* component fulfilling a need of the *users*, such as computing, storage, networking or software systems.

Revision PDK
in progress
based on
feedback and
experience







WISE Community:

Security Communication Challenges Coordination WG (SCCC-WG)

Introduction and background

Maintaining trust between different infrastructures and domains depends largely on predictable responses by all parties involved. Many frameworks – e.g. SCI and Sirtfi – and groups such as the coordinated e-Infrastructy — the IGTF, and REFEDS, all promote mechanisms to publish security contact information, and security discitor implicit expectations on their remit, responsiveness, and level of confidential and the security discitor implicit expectations on their remit, responsiveness, and level of confidential and the security discitor implicit expectations on their remit, responsiveness, and level of confidential and the security discitor implicit expectations on their remit.

Contributions by EnCo Dashboard /... / SCCC-JWG 🚡

Communications Challenge planning

Created by David Groep, last modified by Maarten Kremers on Jan 22, 2020

Body	Last challenge	Campaign name	Next challenge	Campaign name	Status
IGTF	October 2019			IGTF-RATCC4-2019	Completed
EGI	March 2019	SSC 19.03 (8)			(Completed
Trusted Introducer	August 2019	TI Reaction Test	January 2019	TI Reaction Test	Repeats three times a year

Campaign information

Campaigns can target different constituencies and may overlap. The description of the constituency given here should be sufficient for a hui it need not be a detailed description or a list of addresses (which would be a privacy concern since this page is public). Challenges can also a contact address does not bounce, to testing if the organisation contacted can do system memory forensic analysis and engage effectively

- ability to receive mail does not bounce or phone rings
- · automated answering ticket system receipt or answering machine
- human responding a human (helpdesk operative) answers trivially (e.g. name)
- · human familiar with subject-matter responding responsible person responds
- service analysis capability a responsible person or team can investigate and resolve common incidents reported to the contact addre

See also https://www.eugridpma.org/agenda/47/contribution/6/material/slides/0.pptx for some background.

Please do not post sensitive data to this Wiki - it is publicly viewable for now





FIM4R







FIM4R

FIM4R (Federated Identity Management for Research) is a collection of research communities and infrastructures with a shared interest in enabling Federated Identity Management for their research cyber infrastructures. In order to achieve this, FIM4R develops requirements bearing on technical architecture, federated identity management, and operational policies needed to achieve a harmonious integration between research cyber infrastructures and R&E Federations.



FIM4R

Support by EnCo



























Engage!

- •https://fim4r.org
- •https://refeds.org
- •https://wise-community.org
- •https://www.igtf.net
- •https://aarc-community.org

•Contact us: policy@aarc-community.org











Thank You

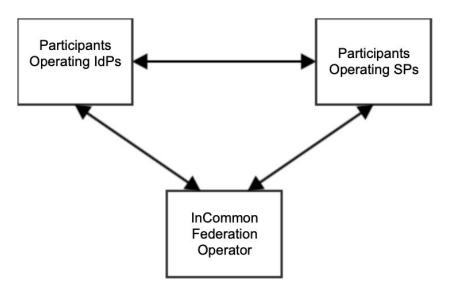


The InCommon Federation creates trust

The InCommon Federation creates multilateral trust among all federation Participants to exchange identity information in a secure manner.

Adherence to interoperability profiles scale that trust to thousands of participating organizations with millions of users.

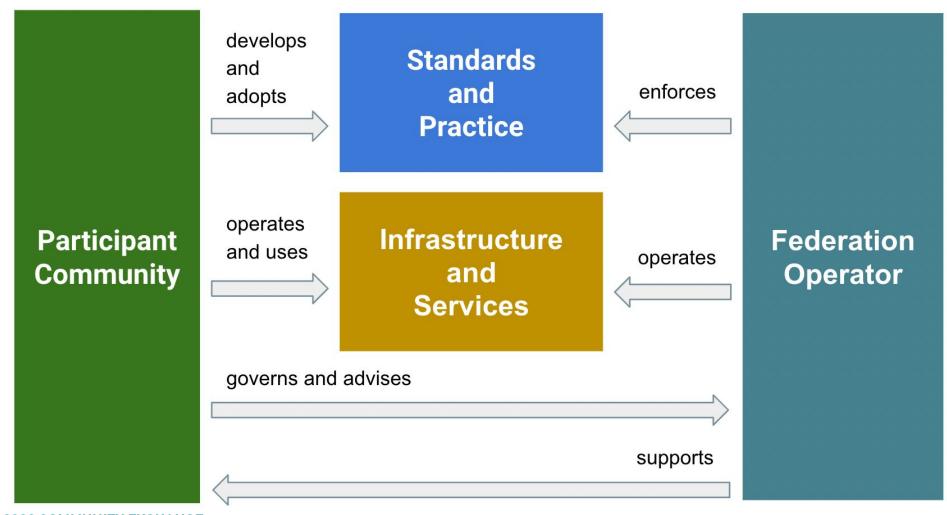
Identity Providers trust Service Providers to respect user privacy and to not misuse the information they receive. Service Providers trust Identity Providers to securely authenticate users and provide and accurate user information.



The Federation Operator provides services to broker and facilitate this multilateral trust.



InCommon Federation illustrated



Recap: what is the InCommon Federation?

Community

InCommon Federation is a community of organizations made up of higher education, research, commercial and government organizations who agree to adopt common identity management practices and technical standards to enable seamless academic collaboration at a global scale.

Standards & Practice

By adhering to these community-curated standards and practices, InCommon participants enable secure single sign-on access to local and global collaboration tools, connecting 10 million+ users and thousands of scholarly collaboration and research resources.

Infrastructure & Services

Internet2, with guidance from community governance, operates the necessary infrastructure to sustain this globally connected trusted access ecosystem. It also carries out adopted policies and practices. Internet2 is the InCommon Federation's Federation Operator.



Federation by the Numbers



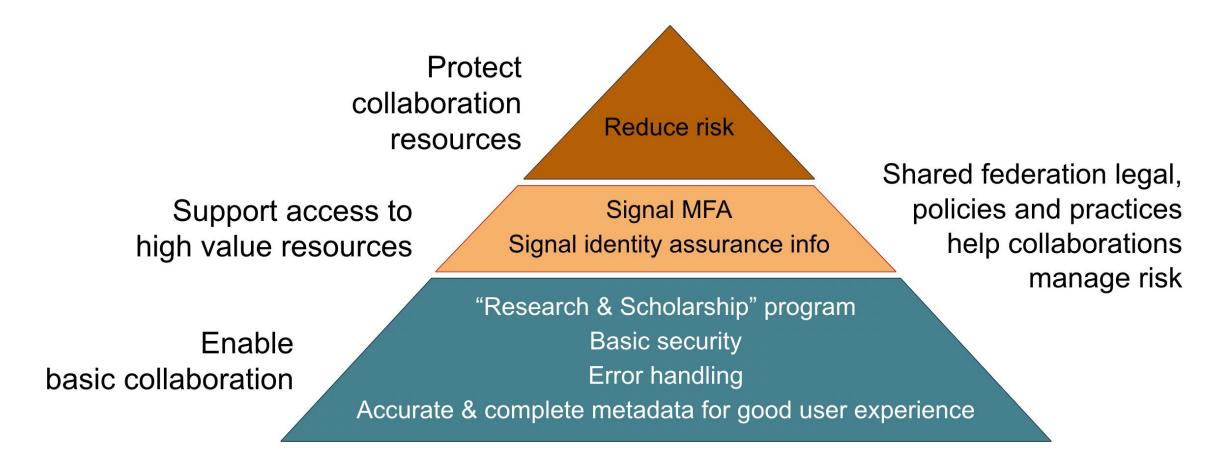
InCommon Federation (United States)

- 771 participant organizations
- 581 identity providers
- 5,676 service providers

eduGAIN: global inter-federation

- 78 countries
- 8,907 registered systems
 - 5,332 identity providers
 - 3,592 service providers

Building the pyramid of trust and interoperability



Building the pyramid: Baseline Expectations

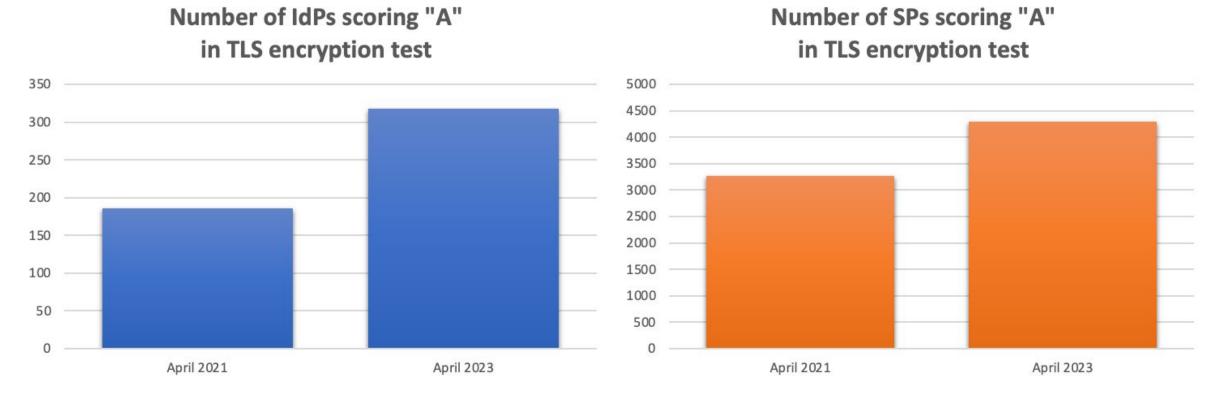
The Baseline Expectations for Trust in Federation (Baseline Expectations, BE) is how the InCommon Federation establishes that trust among Federation participants.

- provide a baseline for trust
- make collaboration more predictable
- include three brief sets of statements, each for Identity Provider Operators (IdP),
 Service Provider Operators (SP), and the Federation Operator respectively.
- evolves as the community's needs evolve to ensure that the InCommon Federation's strategic value to research and education continues to grow

https://incommon.org/federation/baseline-expectations-for-trust-in-federation/

Raising Trust through Baseline Expectations – an example

"...endpoints are secured with current and trustworthy transport layer encryption."



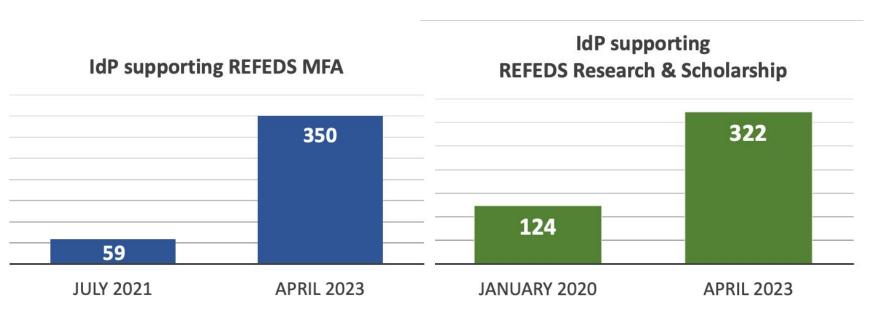


Community's call to action raising trust and interoperability

In 2020, NIH called on its fellow InCommon community to support federated MFA and identity assurance to meet requirements for easy and secure access to NIH resources.

In 2021, the electronic Research Administration (eRA) system became the first NIH system to require MFA and basic user information through federated access.

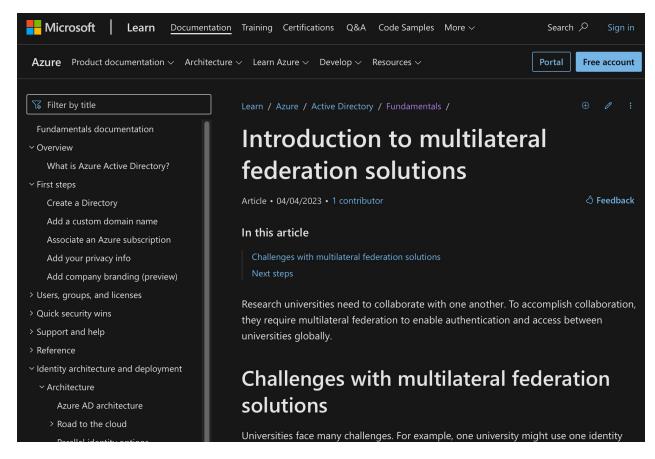
The community responded.



April 2023 Webinar: NIH Community Update on MFA and Identity Requirements https://drive.google.com/file/d/1b7ygO3la2nTL_v2d6oNEhctopSKaNW1Q/view



Major commercial solution providers are pitching in...



The community's persistent efforts to champion trusted and scalable federated access do pay off.

In April 2023, Microsoft published official documentation recognizing R&E's need for multilateral federation solutions and recommended a series of options to integrate Azure AD with InCommon.

https://learn.microsoft.com/en-us/azure/active-directory/fundamentals/multilateral-federation-introduction

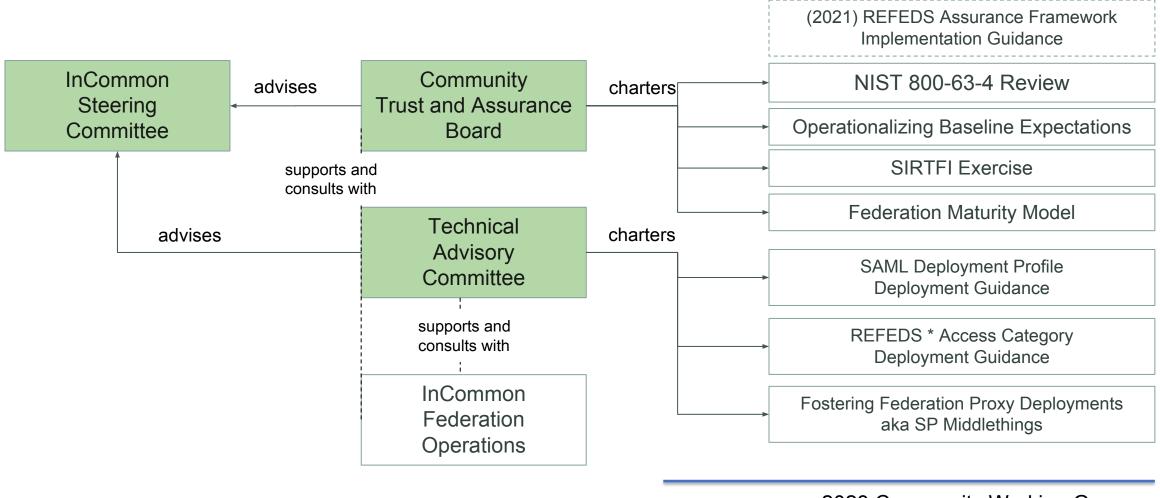


Community Committees govern InCommon

A trio of sustaining committees consisting of elected community members governs the affairs of the InCommon LLC, promotes InCommon mission, establishes policies, and advises InCommon Operations.

- InCommon Steering Committee (Steering) governs the affairs of the InCommon LLC: promotes InCommon mission, establishes policies, delegates authorities where appropriate, and advises InCommon Operations.
- Community Trust and Assurance Board (CTAB) shepherds community consensus on trust and assurance related
 issues. CTAB is the steward of the InCommon Baseline
 Expectations for Trust in Federation policy framework.
- **Technical Advisory Committee (TAC)** provides community advisory to InCommon's operational processes practices, strategies, capabilities, and roadmap.

Community governance fostering trust and interoperability



INTERNET2 2023 COMMUNITY EXCHANGE

2023 Community Working Groups

How do I participate / get help?

Ask Questions

The InCommon Participants Mailing List (participants@incommon.org) is the main online gathering place for the community. Introduce yourself, and ask questions. Chances are someone on the list has been where you are and are eager to help.

Contact <u>help@incommon.org</u> for any official federation operations related matter.

Join Working Groups

InCommon moves forward via progress made in working groups. Joining a working group is a great way to network, learn, and make a difference at the same time.

Working groups are usually open to everyone. Whether you have expertise or just want to share your use cases, jump in!

Drive the bus

Do you know someone, perhaps yourself, who would be a great fit to help lead this community forward? Nominate them to serve on one of the leadership committees.

Call for nomination takes place each Fall. To learn more, visit https://www.incommon.org/community/leadership/

Resources

- InCommon Baseline Expectations for Trust in Federation: https://incommon.org/federation/baseline-expectations-for-trust-in-federation/
- InCommon: Get NIH Ready <u>https://spaces.at.internet2.edu/display/federation/get-nih-ready</u>
- REFEDS Assurance Framework Implementation Guidance for InCommon Participants http://doi.org/10.26869/ti.157.1
- (Microsoft) University Multilateral Federation Solutions <u>https://learn.microsoft.com/en-us/azure/active-directory/fundamentals/multilateral-federation-introduction</u>
- CTAB 2023 Work Plan <u>https://spaces.at.internet2.edu/display/ctab/ctab-2023-work-plan</u>
- TAC 2023 Work Plan: https://spaces.at.internet2.edu/display/inctac/InCommon+TAC+2023+Work+Plan

